AN EQUAL OPPORTUNITY EMPLOYER Commissioner for fairness P.O. BOX 1450 OFFICIAL BUSINESS IF UNDELIVERAB ALEXANDRIA,





UNITED STATES PATENT IND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
10/623,278	07/18/2003	Anne E. Spinks	99-113-US-02	2410	
75	590 09/09/2005		EXAM	INER	
ALLISON JOHNSON, P.A.			LONEY, DONALD J		
2925 DEAN PA SUITE 300	ARKWAY		ART UNIT	PAPER NUMBER	
MINNEAPOLI	S, MN 55416		1772	·	

Please find below and/or attached an Office communication concerning this application or proceeding.

DATE MAILED: 09/09/2005

RECEIVED OIPE/IAP SEP 1 9 2005

	Application No.	Applicant(s)
	10/623,278	SPINKS, ANNE E.
Office Action Summary	Examiner	Art Unit
	Donald Loney	1772
The MAILING DATE of this communication ap Period for Reply	pears on the cover sheet with the o	correspondence address
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D. - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period. - Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be ting will apply and will expire SIX (6) MONTHS from the, cause the application to become ABANDONE	N. mely filed the mailing date of this communication. ED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on	<u></u> .	
2a)☐ This action is FINAL . 2b)☒ Thi	s action is non-final.	
3)☐ Since this application is in condition for allowa	•	
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.
Disposition of Claims		
4) Claim(s) 1-13 is/are pending in the application	n.	
4a) Of the above claim(s) is/are withdra	awn from consideration.	
5) Claim(s) is/are allowed.		·
6)⊠ Claim(s) <u>1-13</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/	or election requirement.	
Application Papers		
9) The specification is objected to by the Examin	er.	
10) The drawing(s) filed on is/are: a) acceptable	cepted or b) objected to by the	Examiner.
Applicant may not request that any objection to the	e drawing(s) be held in abeyance. Se	e 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correct		•
11)☐ The oath or declaration is objected to by the E	examiner. Note the attached Office	e Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12)☐ Acknowledgment is made of a claim for foreign a)☐ All b)☐ Some * c)☐ None of:	n priority under 35 U.S.C. § 119(a)-(d) or (f).
1. Certified copies of the priority document	its have been received.	
2. Certified copies of the priority documen	its have been received in Applicat	ion No
Copies of the certified copies of the price	ority documents have been receiv	ed in this National Stage
application from the International Burea		
* See the attached detailed Office action for a lis	t of the certified copies not receive	ed.
Attachment(s)		
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail D	
 Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 Paper No(s)/Mail Date <u>10/14/03</u>. 	6) Other:	atent Application (PTO-152)



Application/Control Number: 10/623,278 Page 2

Art Unit: 1772

DETAILED ACTION

1. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer coextensive in scope. The filing of a terminal disclaimer <u>cannot</u> overcome a double patenting rejection based upon 35 U.S.C. 101.

- 2. Claim 3 is rejected under 35 U.S.C. 101 as claiming the same invention as that of claim 1 of prior U.S. Patent No. 6602444. This is a double patenting rejection.
- 3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 1, 2 and 4-13 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-19 of U.S. Patent No. 6602444. Although the conflicting claims are not identical, they are not patentably distinct from each other because both contain similar polyalphaolefinadsorbent compositions wherein in the Patent claim 3 was inserted into claim 1.

50°

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 6. Claims 1-13 are rejected under 35 U.S.C. 102(e) as being anticipated by Ferri (6212756).

Ferri teaches the recited composition (polyalphaolefin and adsorbent) coated on a metal spacer used to seal insulating glazing units. Refer to the Abstract, column 3, lines 57-67, column 4, lines 1-13, column 11, lines 31-52, column 13, lines 42-58, column 14, lines 1-18 and column 15, lines 15-39.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 8. Claims 1, 2, 6, 12 and 13 are rejected under 35 U.S.C. 102(b) as being anticipated by Lancesseur et al (5432214).

Lancesseur discloses a composition containing a polyalphaolefin and adsorbent.

The adsorbent is in the amount of 20-50% by weight. Refer to column 1, lines 36-61.

Application/Control Number: 10/623,278

Art Unit: 1772

Claims 12 and 13, drawn to "consisting essentially of" are included since the applicant from page 2, line 21 of the specification is attempting to exclude polyisobutylene and butyl rubber from the recited composition using said limitation. Also, from MPEP section 2111.03, for the purposes of searching for and applying prior art under 35 U.S.C. 102 and 103, absent a clear indication in the specification or claims of what the basic and novel characteristics actually are, "consisting essentially of" will be construed as equivalent to "comprising." See, e.g., PPG, 156 F.3d at 1355, 48 USPQ2d at 1355.

9. Claims 1, 2, 6, 10, 12 and 13 are rejected under 35 U.S.C. 102(b) as being anticipated by Lafond (5436040).

Lafond discloses a composition containing a polyalphaolefin and an adsorbent as recited. This composition is used in insulating glazing units per claim10. Refer to the figures and column 2, lines 43-46, column 3, lines 24-33 and column 5, lines 1-52.

Claim Rejections - 35 USC § 103

- 10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 11. Claims 3-5 and 7-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lancessuer.

The primary reference teaches the invention substantially as recited except for the specific flow rates recited. Lancessuer is silent as to said property. See the 35 U.S.C. 102 rejection above.

Application/Control Number: 10/623,278

Art Unit: 1772

However, it would have been obvious to one having ordinary skill in the art at the time the invention was made to Lancessuer to form a composition with the above flow rate in order to impart the desired properties thereto for its particular application, absence a showing of unexpected results. It also would also be obvious to one of ordinary skill in the art to use the composition in glazing units motivated by the fact dehydrating compositions are used in this field. See Lafond as a teaching reference to the fact.

12. Claims 3-5, 7-9 and 11 rejected under 35 U.S.C. 103(a) as being unpatentable over Lafond.

The primary reference teaches the invention substantially as recited except for the specific flow rates recited. See the 35 U.S.C. 102 rejection above.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to Lafond to form a composition with the above flow rate in order to impart the desired properties thereto for its particular application, especially since it is known to be used in the glazing art field, absence a showing of unexpected results.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Donald Loney whose telephone number is (571) 272-1493. The examiner can normally be reached on Mon, Tues, Thurs and Fri. 8AM-4PM, flex schedule.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Harold Pyon can be reached on 571 272-1498. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Donald Loney Primary Examiner Art Unit 1772

DJL:D.Loney 09/06/05

Docket Number (Optional) 99-113-US-02 Application Number 10/623,278										
INFO MATION DISCLOSURE CITATION Applicant(s)										
(the equate shorts if necessary) Anne E. Spinks										
001 1 4	OCT 1 4 2003 8					Filing Date 7/18/2003		Group Art Unit 1772		
C TRADIEN	AZIS S	7			U.S. PAT	ENT DOCUMENTS				
*EXAMINER INITIAL	REF		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING IF APPRO	
١		3,96	5,215	6/22/76	Lopez e	t al.	/			
J		4,04	5,041	8/30/77	Stenma	rk et al.	_			
J		4,10	9,431	8/29/78	Mazzon	i et al.				
٥		4,19	7,381	4/8/80	Alia			-		
5		4,19	8,254	4/15/80	Laroch	e et al.				
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		4,22	6,063	10/7/80	Chenel					
5		4,43	1,691	2/14/84	Greenle	e				
レ		4,62	2,249	11/11/86	Bowser			-		
V		4,99	4,309	2/19/91	Reichert et al.		-			
س		5,503,884		4/2/96	Meyer et al.			+		
<i>i~</i>		5,50	9,984	4/23/96	Meyer o	et al.		<u> </u>		
					FOREIG	N PATENT DOCUMENTS				
	REF		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	Trans YES	ntion NO
Ч		wo	96/37,289	11/28/96	PCT			\pm		
		EP (0 475 213 A1	3/18/92	Europe		,			
			Edward C. Lad . To a	alcal Desarts no				Date, Pertinent Pa		
u			Lugetech I.G. Ltd.; Tech	inicai Keport; "S	ouper Spa	cer" by Michael Glover, Ge	rna ra Keic	nert; September	· 1989; 32 р	ages
EXAMINE	R I		. <i>D</i>			DATE CONSIDERED	, ,	··		
	·). ly				9/6/			
			tation considered, whether of copy of this form with next c			ce with MPEP Section 609; Dr	aw line thro	ough citation if not	in conform	ance and

01	PE				ATTY DOCKET NO. 99-113-US-02	2	SERIAL NO. 10/0	523,278	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Anne E. Spinks					
OCT 1	4 2003	02	7,		FILING 7/18/2003	T (GROUP	1772	
ξε _λ	. 6	§ ·	U.S	S. PATENT	DOCUMENTS				
EXAMINER INITIAL	MEIC.	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE	
~		5,510,416	4/23/96	Meyer (et al.	-			III C
							1		
				ļ			<u> </u>		
				! 		<u> </u>			
							<u> </u>		
			FORE	IGN PATE	NT DOCUMENTS				
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	LATION NO
					· · · · · · · · · · · · · · · · · · ·				
						 			
						 			
	L	OTHER DOCUME	l NTS (Includin	ig Authoi	r, Title, Date, Pertinent	l Pages, Etc.	 .)	1	
<u>. </u>			·						
									
EXAMINE	₹	D. lug	/		DATE CONSIDERED	9/6/	3 '5		
*EXAMINE considered	R: Initia	al if reference considered, whether	er or not citation is	in conform	nance with MPEP 609; Draw			onformance	and not

Form PTO-A820 (also form PTO-1449) P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

Notice of References Cited Application/Control No. | Applicant(s)/Patent Under Reexamination | SPINKS, ANNE | E. | Examiner | Art Unit | Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-5,432,214	07-1995	Lancesseur, Didier	524/12
	В	US-5,436,040	07-1995	Lafond, Luc	428/34
	С	US-6,212,756	04-2001	Ferri, Louis Anthony	29/527.1
	D	US-6,602,444	08-2003	Spinks, Anne E.	252/194
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	ı	US-			
	J	US-			
	К	US-			
	٦	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Z					
	0					·
	P					
	ø					
	R					
	Ø					
	Τ					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	w	
	x	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.